

**Instructions:**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD
2 0 1 4	0 8	2 0

 to 

YYYY	MM	DD
2 0 1 4	1 2	3 1

 Primary filing reflecting finances to December 31 (or 45<sup>th</sup> day after voting day in a by-election)

 Supplementary filing including finances after December 31 (or 45<sup>th</sup> day after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

Last Name

Hector

Given Name(s)

Dorothy

Name of office for which the candidate sought election

Mayor of Kingston

Ward name or no. (if any)

Name of Municipality

City of Kingston

Spending limit issued by clerk

\$ 80,377.30

 I did not accept any contributions or incur any expenses other than the nomination fee. *(Complete Box A and B only)*
**Box B: Declaration**

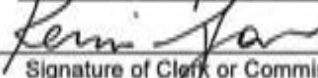
 I, Dorothy Alexis Hector, a candidate in the municipality of

City of Kingston, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

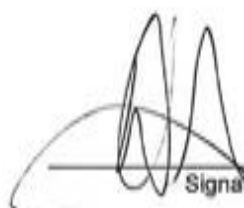
 in the City of Kingston

on (yyyy/mm/dd)

2015/03/17
  
Signature of Clerk or Commissioner

2015/03/17

Date Filed in the Clerk's Office (yyyy/mm/dd)

  
Signature of Candidate

Kevin Arjoon, Deputy City Clerk  
A Commissioner for taking Oaths for  
The Corporation of the City of Kingston,  
Section 1(2)(1), R.S.O. 1990, c.C17

**Box C: Statement of Campaign Income and Expenses****LOAN**

Name of bank or recognized lending institution()

Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (From line 1A in Schedule 1)	+ \$	35,545.00	
Refund of nomination filing fee	+ \$	200.00	
Sign deposit refund	+ \$		
Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2)	+ \$		
Interest earned by campaign bank account	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
<b>Total Campaign Income (Do not include loan)</b>	= \$	<b>35,745.00</b>	<b>C1</b>

**EXPENSES** (Note: include the value of contributions of goods and services)**Expenses subject to spending limit**

Nomination filing fee	+ \$	200.00	
Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1)	+ \$		
Advertising	+ \$	16,612.04	
Brochures/flyers	+ \$	3,110.53	
Signs (including sign deposit)	+ \$	7,637.60	
Meetings hosted	+ \$	31.08	
Office expenses incurred until voting day	+ \$	2,132.63	
Phone and/or Internet expenses incurred until voting day	+ \$	2,164.02	
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$		
Bank charges incurred until voting day	+ \$	112.18	
Interest charged on loan until voting day	+ \$		
Other (provide full details)			
1. Office Rent	+ \$	1,000.00	
2. in kind services	+ \$	2,100.00	
3. _____	+ \$		
<b>Total Expenses subject to spending limit</b>	= \$	<b>35,100.08</b>	<b>C2</b>

**Expenses not subject to spending limit**

Accounting and audit	+ \$	1,130.00	
Cost of fund-raising events/activities (list details in Part IV of Schedule 2)	+ \$		
Voting day party/appreciation notices	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or Internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$	13.50	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
Other (provide full details)			
1. _____	+ \$		
2. _____	+ \$		
3. _____	+ \$		
<b>Total Expenses not subject to spending limit</b>	= \$	<b>1,143.50</b>	<b>C3</b>

**Total Campaign Expenses (C2 + C3)**= \$ **36,243.58** **C4**

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**Box D: Calculation of Surplus or Deficit**

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Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4)	+ \$	<u>-498.58</u>	D1
Eligible deficit carried forward by the candidate from the last election	- \$	<u>          </u>	D2
<b>Total (D1 – D2)</b>	= \$	<u><b>-498.58</b></u>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	<u>          </u>	
Surplus (or deficit) for the campaign	= \$	<u><b>-498.58</b></u>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ \_\_\_\_\_ paid to municipal clerk in the municipality of \_\_\_\_\_.

**Schedule 1 - Contributions****Part I – Summary of Contributions**

Contribution from candidate (include the value of inventory listed in Table 5)	+ \$	23,100.00
Contribution from spouse	+ \$	
Total value of contributions not exceeding \$100 per contributor		
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	1,419.00
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1 – 4)		
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	11,026.00
<b>Less:</b> Contributions returned or payable to the contributor	- \$	
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10	- \$	
<b>Total Amount of Contributions (Record in Box C)</b>	= \$	<b>35,545.00 1A</b>

**Part II – List of Contributions from Each Single Contributor Totalling more than \$100****Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Amount \$
Gordon & Dianne Marantz	16-1098 Kings St W, Kingston ON, K7M 8J2	500.00
Philip & Nancy Day	313 King St W, Kingston ON, K7L 2W9	300.00
Jeannette Linscott	Unit 505, 1000 King St W, Kingston ON, K7M 8H3	300.00
Daniel Cybulski	102 Marclen Dr, Kingston ON, K7L 5H6	250.00
Timothy J Wilkin	15 Watts Cres, Kingston ON, K7M 2P4	250.00
Peggy Reid	59 Kenwoods Circle, Kingston ON, K7K 6Y1	250.00
Bob Storey	103 Wellington St, Kingston ON, K7L 3C6	250.00
Sally Barnes Ross	1221 Spinnaker Pl, Kingston ON, K7L 0C2	200.00
Alan Fyke	290 Emerald St, Kingston ON, K7P 3C9	200.00
Roger James	220 Sheridan St, Kingston ON, K7P 3EA	200.00

Name	Full Address	Amount \$
William Sandy Beeman	575 Old St Patrick St, Unit 5, Ottawa ON, K1N 9H5	200.00
Additional information		926.00
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment	<b>Total</b>	<b>3,826.00</b>

**Table 2: Monetary contributions from corporations or unions**

Name (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Amount \$
Ontario Sewer & Watermain Construction	5045 Orbitor Dr Unit 12 Suite 300, Mississauga ON, L4W 4Y4		Len Corcoran	650.00
Len Corcoran Excavating Ltd	2212 Sydenham Rd, Elginburg ON K0H 1M0		Len Corcoran	500.00
Profile Information Consultants Ltd	36 Lakeland Point Dr, Kingston ON, K7M 4E7	John Mirski		500.00
Jessop Food & Heritage Ltd	PO Box 213, Kingston ON, K7L 4V8		Paul Fortier	750.00
Homestead Land Holdings Ltd	80 Johnson St, Kingston ON, K7L 1X7	Brit Smith		750.00
Kingston Terminal Properties	105 Princess St, PO Box 1534, Kingston ON, K7L 5C7		Bryon Springer	750.00
Susan Creasy Financial Inc	621 Norris Ct Unit 5, Kingston ON, K7P 2R9	Susan Creasy		500.00
Joseph Abramsky & Sons Ltd	179 Sydenham St, Kingston ON, K7K 3M1		Jay Abramsky	250.00

<b>Name (Legal and Carrying on Business As)</b>	<b>Full Address</b>	<b>President or Business Manager</b>	<b>Authorized Representative</b>	<b>Amount \$</b>
Splinter Family Rental Ltd	366 King St East, Suite 400, Kingston ON, K7K 6Y3		Peter Splinter	250.00
Walnut Island Retreat Ltd	3 Dianna St, Kingston ON, K7L 4V1		Peter Walker	200.00
			<b>Total</b>	<b>5,100.00</b>

Additional information is listed on separate supplementary attachment

**Table 3: Contributions in goods or services from individuals other than candidate or spouse  
(Note: must also be recorded as expenses in Box C)**

<b>Name</b>	<b>Full Address</b>	<b>Description of Goods or Services</b>	<b>Value \$</b>

Name	Full Address	Description of Goods or Services	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>

**Table 4: Contributions in goods or services from corporations or unions (Note: must also be recorded as expenses in Box C)**

<b>Name of Corporation (Legal and Carrying on Business As)</b>	<b>Full Address</b>	<b>President or Business Manager</b>	<b>Authorized Representative</b>	<b>Description of Goods or Services</b>	<b>Value \$</b>
Touched Stone Web Design	320 Palace Rd, Kingston ON, K7L 4T3	Sandy Stubbert		Web site management	750.00
Treehouse Goat Design	1050 Hudson Dr, Kingston ON, K7M 5K8	Chris Cluff		video production	750.00
Chic Hair & Esthetics	327 King St East, Kingston ON, K7L 3B5	Fletcher Nelson		candidate preparation	600.00



Name of Corporation (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Description of Goods or Services	Value \$

Additional information is listed on separate supplementary attachment

**Total** **2,100.00**

**Total Part II Contributions (Add Totals from Tables 1-4) (Record in Part I - Summary)**

**\$ 11,026.00<sub>1B</sub>**

**Part III - Inventory**

**Table 5: Inventory of Campaign Goods and Materials from Previous Campaign used in this Campaign**  
(Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Current Market Value \$	Quantity	Total Value \$

Description	Date Acquired (yyyy/mm/dd)	Supplier	Current Market Value \$	Quantity	Total Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment					<b>Total</b>

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event/Activity**

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

**Part I – Ticket Revenue**

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

+ \$ \_\_\_\_\_ 2A

X \_\_\_\_\_ 2B

**Total Ticket Revenue (2A X 2B) (Include in Schedule 1)**

= \$ \_\_\_\_\_

**Part II – Other revenue deemed a contribution**

(provide details (e.g. revenue from goods sold in excess of fair market value))

1. \_\_\_\_\_ + \$ \_\_\_\_\_

2. \_\_\_\_\_ + \$ \_\_\_\_\_

3. \_\_\_\_\_ + \$ \_\_\_\_\_

4. \_\_\_\_\_ + \$ \_\_\_\_\_

5. \_\_\_\_\_ + \$ \_\_\_\_\_

**Total Part II Revenue (include in Schedule 1)**

= \$ \_\_\_\_\_

**Part III – Other revenue not deemed a contribution**

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1. \_\_\_\_\_ + \$ \_\_\_\_\_

2. \_\_\_\_\_ + \$ \_\_\_\_\_

3. \_\_\_\_\_ + \$ \_\_\_\_\_

4. \_\_\_\_\_ + \$ \_\_\_\_\_

5. \_\_\_\_\_ + \$ \_\_\_\_\_

**Total Part III Revenue (include in Box C)**

= \$ \_\_\_\_\_

**Part IV – Expenses related to fundraising event or activity (provide details)**

1. \_\_\_\_\_ + \$ \_\_\_\_\_

2. \_\_\_\_\_ + \$ \_\_\_\_\_

3. \_\_\_\_\_ + \$ \_\_\_\_\_

4. \_\_\_\_\_ + \$ \_\_\_\_\_

5. \_\_\_\_\_ + \$ \_\_\_\_\_

6. \_\_\_\_\_ + \$ \_\_\_\_\_

7. \_\_\_\_\_ + \$ \_\_\_\_\_

8. \_\_\_\_\_ + \$ \_\_\_\_\_

**Total Part IV Expenses (include in Box C)**

= \$ \_\_\_\_\_

**Auditor's Report***Municipal Elections Act, 1996 (Section 78)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant

Municipality

City of Kingston

Date (yyyy/mm/dd)

2015/03/12

**Contact Information**

Last Name

Wilson

First Name

Brent

Licence Number

305253

Address

Suite/Unit No.

201

Street No.

1473

Street Name

John Counter Boulevard

City/Town

Kingston

Province

ON

Postal Code

K7M 8Z6

Telephone No. (including area code)

613 544-2903

ext.

Fax No.

613 544-6151

Email Address

bwilson@collinsbarrow.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

**Supplemental Form Table I: Monetary contributions from Individuals other than candidate or spouse**

<b>Name</b>	<b>Full Address</b>	<b>Amount \$</b>
Dr Carol Beatty	20 King Pitt Rd, Kingston ON, K7L 4V1	\$ 200.00
Philip Day	313 King St W, Kingston ON, K7L 2W9	\$ 200.00
Michael Robinson	32 MacDonnell St, Kingston ON, K7L 4B6	\$ 150.00
Brenda Palmer	1050 Hudson Drive, Kingston, ON K7M 5K8	\$ 150.00
Peter & Sheila Kingston	62 Riverside Dr, Kingston ON, K7L 4V1	\$ 125.00
Dr Bertrand John Plant	1295 Hwy 2, Kingston ON, K7L 4V1	<u>\$ 101.00</u>
		total \$ 926.00

**Dorothy Hector - Election  
Campaign**

**Election Campaign Audit  
For the period  
August 20, 2014 to December 31, 2014**



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**Independent Auditors' Report**

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To: John Bolognone, City Clerk, Corporation of the City of Kingston

We have audited the accompanying financial statement - Auditor's Report, Form 4 of Dorothy Hector, candidate, for the period August 20, 2014 to December 31, 2014.

***Candidate's Responsibility for the Financial Statement***

The candidate is responsible for the preparation of this financial statement in accordance with the Municipal Elections Act, 1996, and for such internal control determined to be necessary to enable the preparation of the financial statement that is free from material misstatement, whether due to fraud or error.

***Auditors' Responsibility***

Our responsibility is to express an opinion on the financial statement based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statement. The procedures selected depend on the auditors' judgement, including the assessment of the risks of material misstatement of the financial statement, whether due to fraud or error. In making those risk assessments, the auditors consider internal controls relevant to the candidate's preparation of the financial statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the candidate's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the candidate, as well as evaluating the overall presentation of the financial statement. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

***Basis for Qualified Opinion***

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, receipts and disbursements. Accordingly, our verification of these transactions was limited to ensuring the financial statement reflects the amounts recorded in the accounting records of Dorothy Hector, candidate, in accordance with the accounting procedures established by the Municipal Elections Act, 1996 and we were not able to determine whether any adjustments might be necessary to income and expenses.

***Qualified Opinion***

In our qualified opinion, except for the effect of adjustments, if any, which we may have determined to be necessary had we been able to satisfy ourselves concerning the completeness of the records as described in the preceding paragraph, this financial statement presents fairly in all material respects, the income and expenses for the campaign period from August 20, 2014 to December 31, 2014 in accordance with the accounting treatment prescribed by the Municipal Elections Act, 1996.

The Municipal Elections Act, 1996 does not require us to report, nor was it practicable for us to determine, that contributions reported include only those which may be properly retained in accordance with the provisions of the Municipal Elections Act, 1996.

*Collins Barrow SEO LLP*

Chartered Professional Accountants  
Licensed Public Accountants

Kingston, Ontario  
March 12, 2015